



UNIVERSITY OF MIAMI  
MILLER SCHOOL  
of MEDICINE

## Tackling Unresolved Challenges in The Treatment of Schizophrenia: Negative Symptoms, Cognitive Deficits, and Partial Treatment Response

Philip D. Harvey, PhD  
University of Miami Miller School of Medicine

## Disclosure Information

- Dr. Harvey has served as a consultant in the past year to:
  - Alkermes; Boehringer-Ingelheim; EMA Wellness; Karuna Therapeutics; Minerva Pharma, Sunovion Pharmaceuticals;
  - CSO i-Function
  - Royalties from WCG-Verasci
- No nonpublic information is being presented in this lecture



UNIVERSITY OF MIAMI  
MILLER SCHOOL  
of MEDICINE

## What is the biggest problem in schizophrenia and bipolar disorder?

- It's not
  - Suicide (10-15%)
  - Hallucinations (30%)
  - Delusions (30%)
- It is
  - Disability
    - Social (65%)
    - Vocational (60-90%)
    - Residential (40-60%)

## What Predicts Everyday Disability in Schizophrenia?

- The usual suspects include:
  - Cognition
  - Social Cognition
  - Negative Symptoms
  - Functional Capacity
- An additional issue is Failures in Treatment response
  - Treatment Resistance
  - Partial Response

## Important Domains of Cognitive Dysfunction In Schizophrenia

- Attention/vigilance
- Processing Speed
- Working Memory
- Executive Functioning
- Episodic Memory



## What are the current symptoms that are included in the Negative Symptoms construct?

- Reduced Motivation: Avolition
- Reduced Pleasure Sensitivity: Anhedonia
- Reduced Social Engagement: Asociality
- Reduced Communication: Alogia
- Reduced Emotional Expression: Affective Blunting
- There are other concepts that are linked, but may be subsumed: Amotivation

- Functional relevance
  - Social and everyday living skills
  - Adherence to treatment
- Potential vulnerability factors
- Markers for endophenotypes

```

graph LR
    subgraph Predictor_Variables [Predictor Variables]
        NS[Negative Symptoms]
        BDI[BDI Scores]
        CLT[Cognition Latent Trait]
    end
    subgraph Real_World_Functioning [Real-World Functioning Measured with the SLC]
        IF[Interpersonal Functioning]
        EA[Everyday Activities]
        VF[Vocational Functioning]
    end
    NS --> IF
    BDI --> IF
    BDI --> EA
    BDI --> VF
    CLT --> VF
    BDI --> CLT
  
```

[illegible]

UNIVERSITY OF MIAMI  
MILLER SCHOOL  
of MEDICINE

## Features of the Cognitive Enhancement Research Design

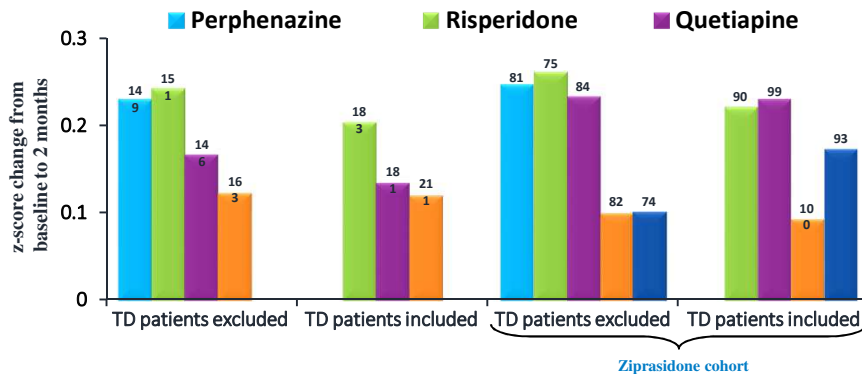
- Use of a consensus-derived cognitive battery
  - The MATRICS Consensus Cognitive Battery or 'equivalent'
- Use of a co-primary outcomes measure
  - Either a performance-based assessment of functional skills or a structured interview
- Enrollment of clinically stable patients
  - To rule out 'pseudospecificity'
- Long trial duration

## The MCCB

- MATRICS battery (Measurement and Treatment Research to Improve Cognition in Schizophrenia)<sup>1</sup>
  - Speed of processing
    - Category fluency
    - Trail making test, part A
    - Symbol-coding
  - Attention/vigilance
    - Continuous performance test - identical pairs (CPT-IP)
  - Working memory
    - Letter-number span
    - Spatial span
  - Verbal learning
    - Hopkins verbal learning test – revised (HVLT-R)
  - Visual learning
    - Brief visuospatial memory test – revised (BVM-T-R)
  - Reasoning and problem solving
    - Mazes
  - Uses mean of t-scores for an estimate of global neuropsychological performance



## CATIE Results: Change in neurocognitive composite score after 2 months of treatment



N above histogram. No significant differences between treatments ( $p=0.20$ ). TD, tardive dyskinesia. Keefe RSE, et al. *Arch Gen Psychiatry* 2007; 64: 633-47.

## The long list of Failed Add-On Pharmacotherapy for Cognition (Small font Required)

- Cholinesterase Inhibitors
- L-Dopa
- AMPA-Kine
- Memantine
- Modafinil/armodafinil
- H-3
- PDE-9
- Bitopertin (Gly T-1 Agonist)
- Pimavanserin
- Roluperidone
- SKF38393 (Does not penetrate BBB; works with intracranial delivery)
- Alpha-7 partial agonists (maybe; hold the thought)
- Guanfacine (worked in SPD)
- Dihydropyridine (worked in SPD)

# What Did work?

- Lisdexamfetamine (Development suspended)
- Amphetamine (single dose studies)
- Computerized Cognitive Training
- Computerized Social Cognitive Training

## Encenicline effects phase 2

Table 4 SCoRS items that responded to treatment with encenicline 1.0 mg compared to placebo: Effect sizes (ES) presented as Cohen's d.

SCoRS item	Interviewer ES (p-Value)	Informant ES (p-Value)	Patient ES (p-Value)
1. Remembering the names of people you know or meet	0.32 (.027)	0.55 (.001)	
2. Remembering how to get places	0.27 (.058)	0.48 (.005)	
3. Following a TV show	0.44 (.003)	0.49 (.004)	0.38 (.008)
4. Remembering where you put things	0.32 (.024)	0.56 (.001)	
7. Remembering information recently given to you		0.36 (.035)	
13. Staying Focused	0.28 (.048)	0.44 (.011)	
16. Doing things quickly	0.28 (.054)	0.37 (.029)	
20. Following conversations in a group	0.37 (.010)	0.36 (.033)	
Total score	0.37 (.011)	0.57 (.001)	

European Neuropsychopharmacology (2015) 25, 176–184

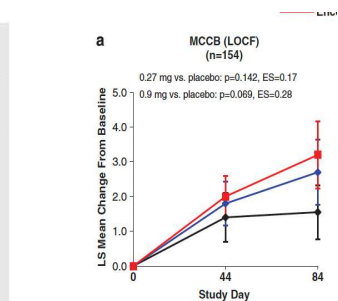


www.elsevier.com/locate/euoneuro



### Reliability, validity and treatment sensitivity of the Schizophrenia Cognition Rating Scale

Richard S.E. Keefe<sup>a,b,\*</sup>, Vicki G. Davis<sup>b</sup>, Nathan B. Spagnola<sup>b</sup>, Dana Hilt<sup>c</sup>, Nancy Dgetluck<sup>c</sup>, Stacy Ryse<sup>b</sup>, Thomas D. Patterson<sup>d</sup>, Meera Narasimhan<sup>e</sup>, Philip D. Harvey<sup>f</sup>



Randomized, Double-Blind, Placebo-Controlled Study of Encenicline, an  $\alpha 7$  Nicotinic Acetylcholine Receptor Agonist, as a Treatment for Cognitive Impairment in Schizophrenia

Richard S.E. Keefe<sup>a</sup>, Herbert A. Meltzer<sup>b</sup>, Nancy Dgetluck<sup>c</sup>, Maria Gawry<sup>d</sup>, Gerhard Koenig<sup>e</sup>, Hans J. Moebius<sup>f</sup>, Rina Lombardo<sup>g</sup> and Dana C. Hilt<sup>h,i</sup>

<sup>a</sup>Department of Psychiatry and Behavioral Sciences, Duke University Medical Center, Durham, NC, USA; <sup>b</sup>Department of Psychiatry and Behavioral Sciences, Northwestern University, Feinberg School of Medicine, USA; <sup>c</sup>PERUM Pharmaceuticals Inc., Waltham, MA, USA

# Why Did Encenicline Fail in phase 3?

- They decided to rush to complete recruitment
  - If you compared separation from placebo, it separates under the first CEO, not the second
- Participants did not take it
  - Participants with any detectable level of the medication separated

## BI GLYt-1 Inhibitor: Iclepertin

**Efficacy and safety of the novel glycine transporter inhibitor  
BI 425809 once daily in patients with schizophrenia:  
a double-blind, randomised, placebo-controlled phase 2 study**

*W Wolfgang Fleischhacker, Jana Podhorna, Martina Gröschl, Sanjay Hake, Yihua Zhao, Songqiao Huang, Richard S E Keefe, Michael Desch, Ronald Brenner, David P Walling, Emilio Mantero-Atienza, Kazuyuki Nakagome, Stephane Pollentier*

MCCB neurocognitive composite T-score at week 12*				
n	79	80	82	83
Adjusted mean change from baseline	2.16 (0.88 to 3.43)	2.08 (0.83 to 3.33)	3.59 (2.39 to 4.79)	3.48 (2.28 to 4.67)
Adjusted mean difference versus placebo	0.45 (-1.09 to 1.99)	0.37 (-1.14 to 1.88)	1.88 (0.41 to 3.35)	1.77 (0.29 to 3.24)
SCoRS interviewer-rated total score at week 12†				
n	77	80	82	83
Adjusted mean change from baseline	-1.64 (-2.81 to -0.46)	-3.65 (-4.81 to -2.50)	-3.08 (-4.22 to -1.94)	-3.89 (-5.02 to -2.75)
Adjusted mean difference versus placebo	1.18 (-0.26 to 2.61)	-0.84 (-2.26 to 0.58)	-0.26 (-1.67 to 1.14)	-1.07 (-2.47 to 0.33)



# Back to the Past

*The NEW ENGLAND JOURNAL of MEDICINE*

## ORIGINAL ARTICLE

### Muscarinic Cholinergic Receptor Agonist and Peripheral Antagonist for Schizophrenia

Stephen K. Brannan, M.D., Sharon Sawchak, R.N., Andrew C. Miller, Ph.D., Jeffrey A. Lieberman, M.D., Steven M. Paul, M.D., and Alan Breier, M.D.

Two successful phase-3 trials since this one



UNIVERSITY OF MIAMI  
MILLER SCHOOL  
of MEDICINE

## Which May Also Impact Positively on Cognition, as you'd expect

Translational Psychiatry

[www.nature.com/tp](http://www.nature.com/tp)

ARTICLE OPEN

Check for updates

Effectiveness of KarXT (xanomeline-trospium) for cognitive impairment in schizophrenia: post hoc analyses from a randomised, double-blind, placebo-controlled phase 2 study

Colin Sauder<sup>1</sup>✉, Luke A. Allen<sup>2</sup>, Elizabeth Baker<sup>2</sup>, Andrew C. Miller<sup>1</sup>, Steven M. Paul<sup>1</sup> and Stephen K. Brannan<sup>1</sup>

© The Author(s) 2022

**Table 2.** KarXT treatment effect on cognitive performance by baseline impairment subgroup.

Sample	LS means change from baseline at day 35		95% confidence interval		p value	Cohen's d
	Treatment	Estimate (SE)	Lower	Upper		
Minimally impaired	KarXT (n = 37)	−0.18 (0.13)	−0.44	0.09	0.19	0.22
	Placebo (n = 28)	−0.22 (0.15)	−0.52	0.08	0.15	0.28
	KarXT vs. placebo	0.04 (0.16)	−0.28	0.37	0.79	0.05
Impaired	KarXT (n = 23)	0.57 (0.19)	0.18	0.95	0.01	0.61
	Placebo (n = 37)	0.07 (0.13)	−0.19	0.33	0.59	0.09
	KarXT vs. placebo	0.50 (0.22)	0.04	0.95	0.03	0.50

LS means and p values are derived from post hoc ANCOVA models described earlier, with covariates of site, gender, age, and baseline performance. ANCOVA analysis of covariance, LS least squares.



UNIVERSITY OF MIAMI  
MILLER SCHOOL  
of MEDICINE

## Quick, Interim, Summary

- Large scale phase 3 BI trial ongoing
- Smaller scale Neurocrine Study ongoing
  - Luvadaxistat: DAO<sup>1</sup> inhibitor designed to reverse NMDA hypo-function
  - Failed for Negative Symptoms
- KarXT Trial likely

<sup>1</sup> Inhibition of DAO leads to the increase of D-serine levels which act as agonists at the NMDAR.

## Treatment Efforts for Negative symptoms

- Couple of Previous negative outcomes
  - Bitopertin
  - Neurocrine
    - GlyT-1 Agents
  - Multiple previous studies focusing on Glutamate
    - Glycine; D-Serine; D-Cycloserine

## Couple of Potentially Important Developments

### Efficacy and Safety of MIN-101: A 12-Week Randomized, Double-Blind, Placebo-Controlled Trial of a New Drug in Development for the Treatment of Negative Symptoms in Schizophrenia

Michael Davidson, M.D., Jay Saoud, Ph.D., Corinne Staner, M.D., Nadine Noel, Ph.D., Elisabeth Luthringer, R.N., Sandra Werner, Ph.D., Joseph Reilly, M.S., Jean-Yves Schaffhauser, Pharm.D., Jonathan Rabinowitz, Ph.D., Mark Weiser, M.D., Remy Luthringer, Ph.D.

Similar drugs  
Different  
Strategies



**Pimavanserin for negative symptoms of schizophrenia: results from the ADVANCE phase 2 randomised, placebo-controlled trial in North America and Europe**

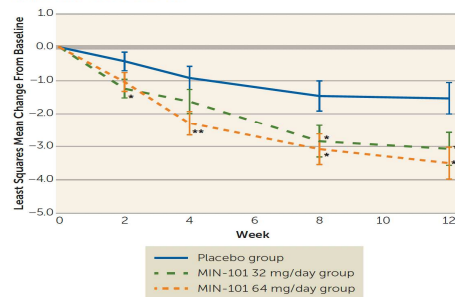
Dragana Bugarski Kirovic, Celso Arrango, Maurizio Fava, Henry Nasrallah, J-Yuan Lai, Brandon Ames, Srdjan Stankovic



UNIVERSITY OF MIAMI  
MILLER SCHOOL  
of MEDICINE

## Roluperidone Efficacy

**FIGURE 1. Change From Baseline in the Five-Factor PANSS Negative Subscale Scores in Patients With Schizophrenia Treated With MIN-101 or Placebo\***



Harvey PD, Saoud JB, Luthringer R, et al. Effects of Roluperidone (MIN-101) on two dimensions of the negative symptoms factor score: Reduced emotional experience and reduced emotional expression. *Schizophr Res.* 2020;215:352-356.

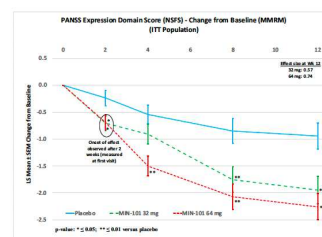
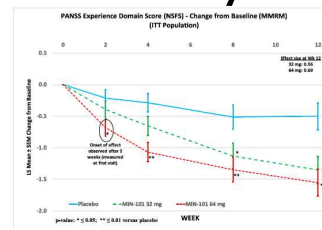
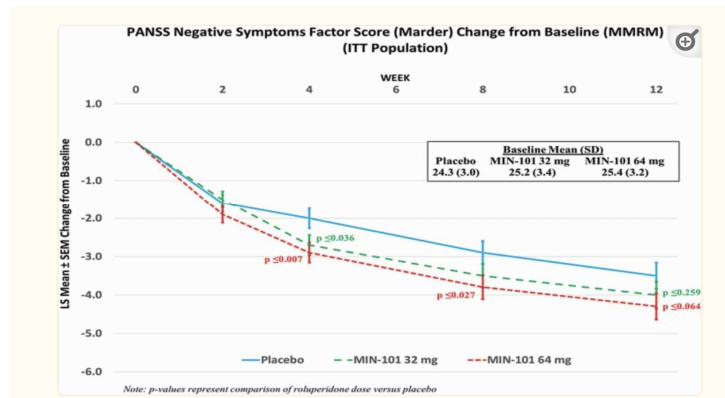


Fig. 1. Treatment response of the PANSS Reduced Emotional Experience and Reduced Emotional Expression Factors.



UNIVERSITY OF MIAMI  
MILLER SCHOOL  
of MEDICINE

# Phase 3 Roluperidone

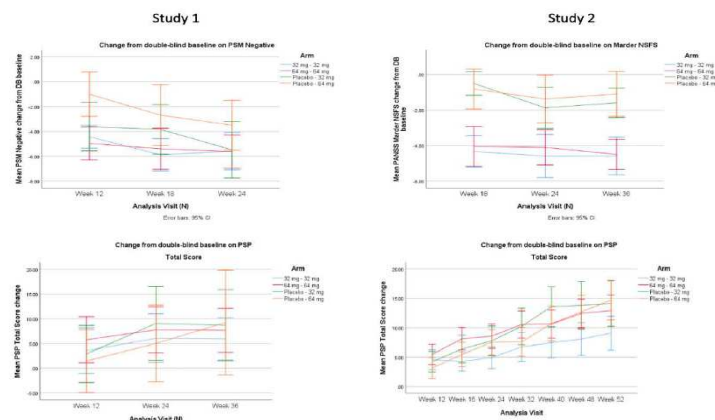


Davidson M, Saoud J, Staner C, et al. Efficacy and Safety of Roluperidone for the Treatment of Negative Symptoms of Schizophrenia. *Schizophr Bull.* 2022;48(3):609-619.



UNIVERSITY OF MIAMI  
MILLER SCHOOL  
of MEDICINE

## Roluperidone Long Term Efficacy



Rabinowitz J, Staner C, Saoud J, et al. Long-term effects of Roluperidone on negative symptoms of schizophrenia *Schizophr Res.* 2023;255:9-13.



UNIVERSITY OF MIAMI  
MILLER SCHOOL  
of MEDICINE

# Pimavanserin Efficacy

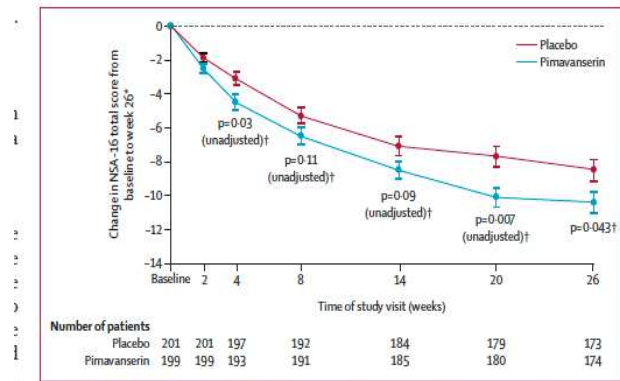


Figure 2: Least squares mean change in the NSA-16 total score from baseline to week 26 on the full analysis set

## And Another interesting Finding

### Cariprazine versus risperidone monotherapy for treatment of predominant negative symptoms in patients with schizophrenia: a randomised, double-blind, controlled trial

György Németh, István Laszlovsky, Pál Czobor, Erzsébet Szalai, Balázs Szatmári, Judit Harsányi, Ágota Barabássy, Marc Debelle, Suresh Durgam, István Bitter, Stephen Marder, Wolfgang Fleischhacker

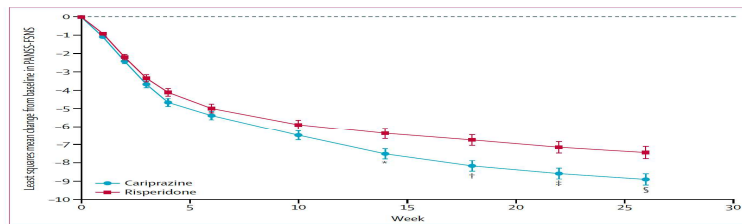


Figure 2: Mean change from baseline to week 26 in PANSS-factor score for negative symptoms. p=0.0092 for the overall treatment effect of cariprazine versus risperidone. PANSS-FSN5=Positive and Negative Syndrome Scale factor score for negative symptoms. \*p=0.0079. †p=0.0011. ‡p=0.0016. §p=0.0022.

And there do seem to be  
Functional Gains as well

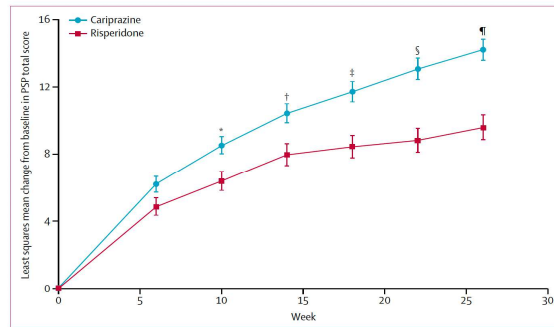


Figure 3: Mean change from baseline to week 26 in PSP total score

There have been some regulatory  
challenges

- FDA did not accept the Cariprazine data for label language
- FDA gave Minerva a refusal to file decision

## Partial Treatment Response

- First episode patients with schizophrenia commonly have a good response to treatment (~75%)
- There are some non responders from day 1
- Treatment resistance also develops across successive relapses

## Treatment Resistance

- Clozapine provides a good strategy for many patients
- Some patients fail to respond, constituting an “Ultra-Treatment Resistant” group.
- It is important to differentiate non response or partial response from nonadherence

## Partial Response or Partial Adherence?

- Confirmation of adherence can be tricky
  - Most patients will tell you that they are adherent, particularly if you have a study for them
- Blood levels is one strategy
- Extrapolating from response to Long- Acting Antipsychotics is another, probably simpler, strategy

## Remission with LAI Treatment Compared to Oral Medications

- Estimates of remission with LAI treatment range from 33% to 75%; response is higher
- In an analysis of the large cohort of patients we discussed previously (Strassnig et al.), 18% of patients treated with oral medications met criteria for remission, even though we only recruited participants who reported that they were prescribed and adherent to antipsychotic treatments
- In a screening run-in for the Acadia partial response study 8 consecutive patients who produced a prescription for antipsychotics and insisting that they took their medication yesterday had 0.0 blood levels of any antipsychotic medication.



# Augmentation Strategies

- Several studies have examined augmentation strategies for partial treatment response without success
  - Pimavanserin
  - Bitopertin
  - Multiple other historical add-on Therapies ranging from SSRI to Benzodiazepines

## A newly available Possible Mechanism

- Stimulation of the cholinergic M4 receptor has been shown in animal and human studies to downregulate dopamine signaling, in critical areas
- If this actually happens in humans, then M4 compounds could, at least theoretically, down-regulate striatal DA activity
- Monotherapy with xanomeline, although not tolerable, had efficacy for psychosis in schizophrenia and AD.

# Two Cholinergic Compounds

- KARxT, shown in three trials to separate from placebo in acute psychosis
  - Very unlikely that this is an M1 effect.
- Krystal JH, Kane JM, Correll CU, et al. Emraclidine, a novel positive allosteric modulator of cholinergic M4 receptors, for the treatment of schizophrenia: a two-part, randomised, double-blind, placebo-controlled, phase 1b trial. *Lancet*. 2023;400(10369):2210-2220.

# Another Interesting Mechanism

## *The* NEW ENGLAND JOURNAL *of* MEDICINE

ESTABLISHED IN 1812

APRIL 16, 2020

VOL. 382 NO. 16

### A Non-D2-Receptor-Binding Drug for the Treatment of Schizophrenia

Kenneth S. Koblan, Ph.D., Justine Kent, M.D., Seth C. Hopkins, Ph.D., John H. Krystal, M.D., Hailong Cheng, Ph.D.,  
Robert Goldman, Ph.D., and Antony Loebel, M.D.

Ulotaront / SEP-363856

## New Mechanism with a Strong Basic Science Rationale

- Preclinical data suggest that agonism at trace amine-associated receptor1 (TAAR1) inhibits firing of a subset of neurons in the ventral tegmental area of the midbrain,
- This inhibitory effect is consistent with a report of inhibition of dopaminergic neurons through activation of TAAR1.
- Several studies have suggested that the G-protein-coupled TAAR1 receptor has a role in modulating dopaminergic circuitry and has potential as a therapeutic target in patients with schizophrenia

## Similar Roche Compound

- Ralmitaront, also developed with PsychoGenics smart-cube technology
- TAAR 1 partial agonist
- Failed for partial treatment response
- In trials for negative symptoms

Is a mechanism alone enough?

- We have heard this story before:
  - 3<sup>rd</sup> Generation Antipsychotic (Aripiprazole), D2 partial agonism
- The way the payment system is arranged, different is not good enough
- Also, side effects may not be a selling point for many payers

## Conclusions

- There are several promising new developments that seem likely to be approved for schizophrenia
  - KARxT is closest, followed by Ulotaront
- Several others have to jump the phase 3 hurdle
  - Iclepertin and Pimavanserin
- Some, like Risperidone, seem stalled

## Conclusions, 2

- Although we have been here before, some previous drug failures actually were failures in trial conduct or patient selection
- It seems like at least a couple of potentially game-changing treatments will be available soon